

Form No. AOC-4- NBFC (Ind AS)

**Form for filing financial statement and other documents
with the Registrar**

[Pursuant to section 137 of the Companies Act, 2013 and
sub-rule (1A) of Rule 12 of Companies (Accounts) Rules, 2014]

Refer instruction kit for filing the form

*All fields marked in * are mandatory*

*Figures appearing in the eForm should be entered in Absolute Rupees only. Figures should not be rounded off in any
other unit like hundreds, thousands, lakhs, millions or crores.*



Form language



English



Hindi

**SEGMENT- I: GENERAL INFORMATION OF THE COMPANY AND PARTICULARS IN RESPECT OF
BALANCE SHEET**

Part A - General information of the Company

1 (a) *Corporate Identity Number (CIN)

(b) Authorised capital of the company as on the date of filing

(c) Number of members of the company as on the date of filing

2 (a) *Name of the company

(b) *Address of the registered office of the company

(c) *e-mail ID of the company

3 Financial year to which financial statements relates

(a) *From (DD/MM/YYYY)

(b) *To (DD/MM/YYYY)

4 (a) *Date of Board of directors' meeting in which financial statements are approved (DD/MM/YYYY)

(b) (i) Nature of financial statements

(Provisional un-adopted Financial statements/Adopted Financial statements/Revised Financial statements u/s 130/Revised Financial statements u/s 131)

(ii) Nature of revision

☐ Financial statement☐ Directors' Report☐ Both

(iii) Whether provisional financial statements filed earlier

☐ Yes☐ No☐ Not applicable

(iv) Whether adopted in adjourned AGM

☐ Yes☐ No☐ Not applicable

(v) Date of adjourned AGM in which financial statements were adopted (DD/MM/YYYY)

(vi) SRN of form INC-28

(vii) SRN of form AOC-4 NBFC (Ind AS)

(viii) Date of order of competent authority (DD/MM/YYYY)

Signatory details

(c) Details of director(s), manager, secretary, CEO, CFO, Interim Resolution Professional (IRP), Resolution Professional (RP) or Liquidator, of the company who have signed the financial statements

Provide Director Identification number (DIN) in case of director, Managing Director and Income –tax permanent account number (Income-tax PAN) in case of manager, secretary, CEO, CFO, IRP, RP, liquidator

DIN or Income-tax PAN	Name	Designation	Date of signing of financial statements (DD/MM/YYYY)

5 (a) Date of Board of directors' meeting in which boards' report referred under section 134 was approved (DD/MM/YYYY)

(b) Details of director(s), IRP, RP, Liquidator who have signed the Boards' report

DIN/PAN	Name	Designation	Date of signing of Boards' Report (DD/MM/YYYY)

6 *Date of signing of reports on the financial statements by the auditors (DD/MM/YYYY)

AGM details

7 (a) * Whether annual general meeting (AGM) held

☐ Yes☐ No☐ Not applicable

(b) If yes, date of AGM (DD/MM/YYYY)

(c) Due date of AGM (DD/MM/YYYY)

(d) Whether any extension for AGM granted

☐ Yes☐ No

(e) SRN of GNL-1

(f) Due date of AGM after grant of extension (DD/MM/YYYY)

Subsidiary details

8 (a) *Whether the company is a subsidiary company as defined under clause (87) of section 2

☐ Yes☐ No

(b) CIN /any other registration number of the holding company, if applicable

(c) Name of the holding company

(d) Provision pursuant to which the company has become a subsidiary
(Section 2(87)(i)/Section 2(87)(ii))


(e) *Whether the company has a subsidiary company as defined under clause (87) of section 2 or the company has an associate company, or a joint venture as defined under clause (6) of section 2

☐ Yes☐ No**Auditor details**

9 *SRN of Form ADT-1

*Number of Auditors

(a) *Income-tax PAN of auditor or auditor's firm	<input type="text"/>
(b) *Category of auditor	<input type="radio"/> Individual <input type="radio"/> Auditor's firm
(c) *Membership number of auditor or auditor's firm's registration number	<input type="text"/>
(d) *Name of the auditor or auditor's firm	<input type="text"/>
(e) Address of the auditor or auditor's firm	<input type="text"/>
*Address Line 1	<input type="text"/>
Address Line 2	<input type="text"/>
*Country	<input type="text"/> ▼
*Pin Code/Zip Code	<input type="text"/>
*Area/Locality	<input type="text"/> ▼
*City	<input type="text"/>
*District	<input type="text"/>
*State/UT	<input type="text"/>
(f) Details of the member signing for the above firm	<input type="text"/>
(i) Name of the member	<input type="text"/>
(ii) Membership number	<input type="text"/>

10 (a) *Type of Industry

Note: In case the type of industry is other than Banking or Power or Insurance

Or NBFC, then select Commercial and Industrial (C&I).

(Commercial & Industrial/Banking Company/Insurance Company/Power Company/Non-banking Financial Company (NBFC) registered with RBI)

 ▼

(b) *Whether Schedule III of the Companies Act, 2013 is applicable

☐ Yes ☐ No

(c) * Whether company has adopted Ind AS for the first time

☐ Yes ☐ No

11 *Whether consolidated financial statements required or not

☐ Yes ☐ No

12 (a) *Whether company is maintaining books of account and other relevant books and papers in electronic form

☐ Yes ☐ No

(b) Complete Postal Address of the Place of maintenance of computer servers (Storing Accounting Data)

(i) Address Line 1

(ii) Address Line 2

(iii) Country

 ▼

(iv) Pin Code/Zip Code

(v) Area/Locality

 ▼

(vi) City

(vii) District

(viii) State/UT

(c) Particulars of the service provider (if any)

(i) Name of the service provider

(ii) Internet protocol address of service provider

(iii) Location of the service provider

(iv) Whether books of account and other books and papers are maintained on cloud ☐ Yes ☐ No

(v) Address as provided by the service provider

Part B - Balance Sheet**PART I — BALANCE SHEET**

	Particulars	Figures as at the end of (Current reporting period) (in Rs.) <input type="text"/> (dd/mm/yyyy)	Figures as at the end of (Previous reporting period) (in Rs.) <input type="text"/> (dd/mm/yyyy)	Reason for change in pre-filled figures of previous reporting period	Figures as at the beginning of (Previous reporting period) (in Rs.) <input type="text"/> (dd/mm/yyyy)	Reason for change in pre-filled figures of previous reporting period
I	ASSETS					
(1)	Financial Assets					
	(a) Cash and cash equivalents					
	(b) Bank Balance other than (a) above					
	(c) Derivative financial instruments					
	(d) Receivables					
	(I) Trade Receivables					
	(II) Other Receivables					
	(e) Loans					
	(f) Investments					
	(g) Other Financial Assets					
(2)	Non-financial Assets					
	(a) Inventories					
	(b) Current tax assets (Net)					
	(c) Deferred Tax Assets (Net)					
	(d) Investment Property					
	(e) Biological assets other than bearer plants					
	(f) Property, Plant					

	and Equipment					
	(g) Capital Work-in-Progress					
	(h) Intangible assets under development					
	(i) Goodwill					
	(j) Other Intangibles assets					
	(k) Other non-financial assets					
	TOTAL ASSETS	0.00	0.00		0.00	
II	LIABILITIES AND EQUITY					
	LIABILITIES					
(1)	Financial Liabilities					
	(a) Derivative financial instruments					
	(b) Payables					
	(I) Trade Payables					
	(i) total outstanding dues of micro enterprises and small enterprises					
	(ii) total outstanding dues of creditors other than micro enterprises and small enterprises					
	(II) Other Payables					
	(i) total outstanding dues of micro enterprises and small enterprises					
	(ii) total outstanding dues of creditors other than micro enterprises and small enterprises					
	(c) Debt Securities					
	(d) Borrowings (Other than Debt Securities)					
	(e) Deposits					
	(f) Subordinated Liabilities					
	(g) Other financial liabilities					
(2)	Non-Financial Liabilities					
	(a) Current tax liabilities (Net)					
	(b) Provisions					
	(c) Deferred Tax Liabilities (Net)					
	(d) Other non-financial liabilities					
(3)	EQUITY					
	(a) Equity Share Capital					
	(b) Other Equity					
	TOTAL LIABILITIES AND EQUITY	0.00	0.00		0.00	

II Break-up of figures in Balance sheet (Amount in Rupees)**A Statement of Changes in Equity****(a) Equity Share Capital****I**

Balance at the beginning of the (Current reporting period) (in Rs.) <div></div> (DD/MM/YYYY)	Reason for change in pre-filled figures of previous reporting period	Changes in equity share capital during the year	Balance at the end of the (Current reporting period) (in Rs.) <div></div> (DD/MM/YYYY)

II

Balance at the beginning of the (Previous reporting period) (in Rs.) <div></div> (DD/MM/YYYY)	Changes in equity share capital during the year	Balance at the end of the (Previous reporting period) (in Rs.) <div></div> (DD/MM/YYYY)

(b) Other Equity**I**

	Share application money pending allotment (1)	Equity component of compound financial instruments (2)	Reserves and Surplus				
			Statutory Reserves (3)	Capital reserves (4)	Securities premium (5)	Other Reserves (6)	Retained Earnings (7)
Balance at the beginning of the (Current reporting period) (in Rs.) <div></div> (DD/MM/YYYY)							
Changes in accounting policy/ prior period errors							
Restated balance at the beginning of the (Current reporting period) (in Rs.) <div></div> (DD/MM/YYYY)							
Total Comprehensive Income for the year							
Dividends							
Transfer to retained earnings							
Any other change							

Balance at the end of the (Current reporting period) (in Rs.) <input type="text"/> (DD/MM/YYYY)							
---	--	--	--	--	--	--	--

	Debt instruments through Other Comprehensive Income (8)	Equity instruments through Other Comprehensive Income (9)	Effective portion of Cash Flow Hedges (10)	Revaluation Surplus (11)	Exchange differences on translating the financial statements of a foreign operation (12)	Other items of Other Comprehensive Income (13)	Money received against share warrants (14)	Total (Sum of 1 to 14)
Balance at the beginning of the (Current reporting period) (in Rs.) <input type="text"/> (DD/MM/YYYY)								
Changes in accounting policy/ prior period errors								
Restated balance at the beginning of the (Current reporting period) (in Rs.) <input type="text"/> (DD/MM/YYYY)								
Total Comprehensive Income for the year								
Dividends								
Transfer to retained earnings								
Any other change								
Balance at the end of the (Current reporting period) (in Rs.) <input type="text"/> (DD/MM/YYYY)								

II

	Reserves and Surplus						
	Share application money pending allotment (1)	Equity component of compound financial instruments (2)	Statutory Reserves (3)	Capital reserves (4)	Securities premium (5)	Other Reserves (6)	Retained Earnings (7)
Balance at the beginning of the (Previous reporting period) (in Rs.) <input type="text"/> (DD/MM/YYYY)							

Changes in accounting policy/ prior period errors							
Restated balance at the beginning of the (Previous reporting period) (in Rs.) <input type="text"/> (DD/MM/YYYY)							
Total Comprehensive Income for the year							
Dividends							
Transfer to retained earnings							
Any other change							
Balance at the end of the (Previous reporting period) (in Rs.) <input type="text"/> (DD/MM/YYYY)							

	Debt instruments through Other Comprehensive Income (8)	Equity instruments through Other Comprehensive Income (9)	Effective portion of Cash Flow Hedges (10)	Revaluation Surplus (11)	Exchange differences on translating the financial statements of a foreign operation (12)	Other items of Other Comprehensive Income (13)	Money received against share warrants (14)	Total (Sum of 1 to 14)
Balance at the beginning of the (Previous reporting period) (in Rs.) <input type="text"/> (DD/MM/YYYY)								
Changes in accounting policy/ prior period errors								
Restated balance at the beginning of the (Previous reporting period) (in Rs.) <input type="text"/> (DD/MM/YYYY)								
Total Comprehensive Income for the year								
Dividends								
Transfer to retained earnings								
Any other change								
Balance at the end of the (Previous reporting period) (in Rs.) <input type="text"/> (DD/MM/YYYY)								

B Derivative financial Instruments

	(Current Year)			(Previous Year)			Reason for change in pre-filled figures of previous reporting period
	Notional amounts	Fair Value - Assets	Fair Value - Liabilities	Notional amounts	Fair Value - Assets	Fair Value - Liabilities	
Part I							
(i)Currency derivatives							
(ii)Interest rate derivatives							
(iii)Credit derivatives							
(iv)Equity linked derivatives							
(v)Other derivatives							
Total Derivative Financial Instruments (i)+(ii)+(iii)+(iv)+(v)							
Part II							
Included in above (Part I) are derivatives held for hedging and risk management purposes as follows:							
(i)Fair value hedging							
(ii)Cash flow hedging							
(iii)Net investment hedging							
(iv)Undesignated Derivatives							
Total Derivative Financial Instruments (i)+(ii)+(iii)+(iv)							

C Receivables

Particulars	Current reporting period	Previous reporting period	Reason for change in pre-filled figures of previous reporting period
Receivables considered good – Secured			
Receivables considered good – Unsecured			
Receivables which have significant increase in Credit Risk			
Receivables – credit impaired			
Total Receivables			
Impairment loss allowance			
Net Receivable			
Debts due by directors or other officers of the company			

D Loans

Particulars	Current reporting period	Previous reporting period	Reason for change in pre-filled figures of previous reporting period
Loans			
Total (A) – Gross			
Less: Impairment loss allowance			
Total (A) – Net			
(B)			
(i) Secured by Property Plant and Equipment			
(ii) Secured by intangible assets			
(iii) Covered by Bank/ Government Guarantees			
(iv) Unsecured			
Total (B) – Gross			
Less: Impairment loss allowance			
Total (B) – Net			
Total (C)(I) Loans in India – Gross			
Less: Impairment loss allowance			
Total (C)(I) – Net			
Total (C)(II) Loans outside India – Gross			
Less: Impairment loss allowance			
Total (C)(II) – Net			
Total (C)(I) and (C)(II)			

E Investments

Investments	Current reporting period	Previous reporting period	Reason for change in pre-filled figures of previous reporting period
a Mutual funds			
b Government Securities			
c Other approved securities			
d Debt securities			
e Equity instruments			
f Subsidiaries			
g Joint Ventures			
h Others			
Total – Gross (A)			
(i) Investments outside India			
(ii) Investments in India			
Total (B)			
Total (A) to tally with (B)			
Less: Allowance for Impairment loss (C)			
Total – Net D = (A)-(C)			

F Debt Securities

	Current reporting period	Previous reporting period	Reason for change in pre-filled figures of previous reporting period
a Liability component of compound financial instruments			
b Other (Bonds/ Debenture etc.)			
Total (A)			
c Debt securities in India			
d Debt securities outside India			
Total (B) to tally with (A)			

G Borrowings (Other than Debt Securities)

	Current reporting period	Previous reporting period	Reason for change in pre-filled figures of previous reporting period
(a) Term loans			
(i) from banks			
(ii) from other parties			
(b) Deferred payment liabilities			
(c) Loans from related parties			
(d) Finance lease obligations			
(e) Liability component of compound financial instruments			
(f) Loans repayable on demand			
(i) from banks			
(ii) from other parties			
(g) Other loans			
Total (A)			
Borrowings in India			
Borrowings outside India			
Total (B) to tally with (A)			

H Deposits

	Current reporting period	Previous reporting period	Reason for change in pre-filled figures of previous reporting period
Deposits			
(i) Public deposits			
(ii) From Banks			
(iii) From Others			
Total			

I Subordinated Liabilities

	Current reporting period	Previous reporting period	Reason for change in pre-filled figures of previous reporting period
1 Perpetual Debt Instruments to the extent that do not qualify as equity			
2 Preference Shares other than those that qualify as Equity			
3 Others			
Total (A)			
4 Subordinated Liabilities in India			
5 Subordinated Liabilities outside India			
Total (B) to tally with (A)			

III Financial parameters - Balance sheet items (Amount in Rupees) as on financial year end date

1	Amount of issue allotted for contracts without payment received in cash during reporting periods	
2	Share application money given	
3	Share application money given during the reporting period	
4	Share application money received during the reporting period	
5	Share application money received and due for refund	
6	Paid – up capital held by foreign company	%
7	Paid-up capital held by foreign holding company and/ or through its subsidiaries	%
8	Number of shares bought back during the reporting period	
9	Deposits accepted or renewed during the reporting period	
10	Deposits matured and claimed but not paid during the reporting period	
11	Deposits matured and claimed, but not paid	
12	Deposits matured, but not claimed	
13	Unclaimed matured debentures	
14	Debentures claimed but not paid	
15	Interest on deposits accrued and due but not paid	
16	Unpaid dividend	
17	Investment in subsidiary companies	
18	Investment in government companies	
19	Capital Reserve	
20	Amount due for transfer to Investor Education and Protection Fund (IEPF)	
21	Inter- corporate deposits	
22	Gross value of transaction as per Ind AS - 24 (If applicable)	
23	Capital subsidies/ grants received from government authority(ies)	
24	Calls unpaid by directors	
25	Calls unpaid by others	
26	Forfeited shares (amount originally paid-up)	
27	Forfeited shares reissued	
28	Borrowing from foreign institutional agencies	
29	Borrowing from foreign companies	
30	Inter-corporate borrowings - secured	
31	Inter-corporate borrowings - unsecured	
32	Commercial Paper	
33	Conversion of warrants into equity shares during the reporting period	

34	Conversion of warrants into preference shares during the reporting period	
35	Conversion of warrants into debentures during the reporting period	
36	Warrants issued during the reporting period (In foreign currency)	
37	Warrants issued during the reporting period (In Rupees)	
38	Default in payment of short term borrowings and interest thereon	
39	Default in payment of long term borrowings and interest thereon	
40	Whether any operating lease has been converted to financial lease or vice-versa	<input type="radio"/> Yes <input type="radio"/> No
	Provide details of such conversions	
41	Net worth of the company	
42	Number of shareholders to whom shares allotted under private placement during the reporting period	
43	Secured Loan	
44	Gross Property, Plant and Equipment	
45	Intangible assets	
46	Depreciation and amortization	
47	Miscellaneous expenditure to the extent not written off or adjusted	
48	Unhedged Foreign Exchange Exposure	

IV Share capital raised during the reporting period (Amount in Rupees)

Particulars	Number of shares	Total Nominal Amount	Total Paid-up amount	Total premium
(1) Equity shares				
At the beginning of the year				
(a) Increase during the year				
i Public Issues				
ii Rights issue				
iii Bonus issue				
iv Private Placement/ Preferential allotment				
v ESOPs				
vi Sweat equity shares allotted				
vii Conversion of Preference share				
viii Conversion of Debentures				
ix GDRs/ADRs				
x Others, specify				
(b) Decrease during the year				
i Buy-back of shares				
ii Shares forfeited				
iii Reduction of share capital				
iv Others, specify				
(c) At the end of the year				
(2) Preference shares				
At the beginning of the year				
(a) Increase during the year				
i Issues of shares				
ii Re-issue of forfeited shares				
iii Others, specify				

(b) Decrease during the year				
i Redemption of shares				
ii Shares forfeited				
iii Reduction of share capital				
iv Others, specify <div></div>				
(c) At the end of the year				

SEGMENT II: INFORMATION AND PARTICULARS IN RESPECT OF PROFIT AND LOSS ACCOUNT

I Statement of Profit and Loss

	Particulars	Figures for the period (Current reporting period) From <div></div> (DD/MM/YYYY) To <div></div> (DD/MM/YYYY)	Figures for the period (Previous reporting period) From <div></div> (DD/MM/YYYY) To <div></div> (DD/MM/YYYY)	Reason for change in pre- filled figures of previous reporting period
	Revenue from operations			
(i)	Interest Income			
(ii)	Dividend Income			
(iii)	Rental Income			
(iv)	Fees and commission Income			
(v)	Net gain on fair value changes			
(vi)	Net gain on derecognition of financial instruments under amortised cost category			
(vii)	Sale of products (including Excise Duty)			
(viii)	Sale of services			
(ix)	Others			
(I)	Total Revenue from operations	0.00	0.00	
(II)	Other Income			
(III)	Total Income (I+II)	0.00	0.00	
	Expenses			
(i)	Finance Costs			
(ii)	Fees and commission expense			
(iii)	Net loss on fair value changes			
(iv)	Net loss on derecognition of financial instruments under amortised cost category			

(v)	Impairment on financial instruments			
(vi)	Cost of materials consumed			
(vii)	Purchases of Stock-in-trade			
(viii)	Changes in Inventories of finished goods, stock-in-trade and work-in-progress			
(ix)	Employee Benefits Expenses			
(x)	Depreciation, amortization and impairment			
(xi)	Other expenses			
(IV)	Total expenses (IV)	0.00	0.00	
(V)	Profit/ (loss) before exceptional items and tax (III-IV)	0.00	0.00	
(VI)	Exceptional items			
(VII)	Profit/ (loss) before tax (V-VI)	0.00	0.00	
(VIII)	Tax Expense			
	(एक) Current tax			
	(दो) Deferred tax			
(IX)	Profit/(Loss) for the period from continuing operations (VII-VIII)	0.00	0.00	
(X)	Profit /(Loss) from discontinued operations			
(XI)	Tax expense of discontinued operations			
(XII)	Profit/ (Loss) from discontinued operations (After tax) (X-XI)	0.00	0.00	
(XIII)	Profit /(Loss) for the period (IX+XII)	0.00	0.00	
(XIV)	Other Comprehensive Income			
	(A)(i) Items that will not be reclassified to profit or loss			
	(ii) Income tax relating to items that will not be reclassified to profit or loss			
	Subtotal (A)	0.00	0.00	
	(B)(i) Items that will be reclassified to profit or loss			
	(ii) Income tax relating to items that will be reclassified to profit or loss			
	Subtotal (B)	0.00	0.00	
	Other Comprehensive Income (A+B)	0.00	0.00	
(XV)	Total Comprehensive Income for the period (XIII+XIV)	0.00	0.00	

	(Comprising Profit (Loss) and other Comprehensive Income for the period)			
(XVI)	Earnings per equity share (for continuing operations)			
	Basic (Rs.)			
	Diluted (Rs.)			
(XVII)	Earnings per equity share (for discontinued operations)			
	(1) Basic (Rs.)			
	(2) Diluted (Rs.)			
(XVIII)	Earnings per equity share (for continuing and discontinuing operations)			
	(1) Basic (Rs.)			
	(2) Diluted (Rs.)			

II Financial parameters - Profit and loss account items (Amount in Rupees) during the reporting period

1	Proposed Dividend		%
2	Earnings per share (in Rupees)		
	(a) Basic		
	(b) Diluted		
3	Income in foreign currency		
4	Expenditure in foreign currency		
5	Revenue subsidies or grants received from government authority(ies)		
6	Rent paid		
7	Consumption of stores and spare parts		
8	Gross value of transaction with related parties as per Ind AS-24 (If applicable)		
9	Bad debts of related parties as per Ind AS-24 (If applicable)		
10	Contribution made under sub-section (3) of section 182		

III Details related to principal products or services of the company

*Total number of product/ services category(ies)

Product or service category code (ITC/ NPCS 4 digit code)	Description of the product or service category	Turnover of the product or service category (in Rupees)	Highest turnover contributing product or service code (ITC/ NPCS 8 digit code)	Description of the product or service	Turnover of highest contributing product or service (in Rupees)

Note - Please refer to 'Indian Trade Classification' based on harmonized commodity description and coding system issues by the ministry of Commerce & Industry for Product Codes and National Product Classification for Services (NPCS) for Services codes issued by Ministry of Statistics & Programme Implementation, Government of India.

SEGMENT III: DISCLOSURE ABOUT RELATED PARTY TRANSACTIONS

1 *Whether any transactions entered with related party? ☐ Yes ☐ No

SEGMENT IV: AUDITOR'S REPORT

I (a) In case of a government company, whether Comptroller and Auditor-General of India (CAG of India) has commented upon or supplemented the audit report under section 143 of the Companies Act, 2013

☐ Yes ☐ No ☐ Not applicable

(b) If yes, provide following details:-

S. No.	Provide details of comment(s) or supplement(s) received from CAG of India	Board of Director's reply(ies) on comments received from CAG of India
I		
II		

(c) Whether Comptroller and Auditor-General of India has conducted supplementary or test audit under section 143 ☐ Yes ☐ No

SEGMENT-V REPORTING OF CORPORATE SOCIAL RESPONSIBILITY

1 CSR applicability pursuant to
(Section 135/Report for unspent CSR amount/Not applicable)

SEGMENT-VI MISCELLANEOUS

1 *Whether the Secretarial Audit is applicable ☐ Yes ☐ No

2 Whether secretarial audit report has been qualified or has any observation or other remarks ☐ Yes ☐ No

3 Number of observations made

4 Provide details of secretarial qualifications or observations or other remarks in secretarial audit report

5 Details of signatories of secretarial audit report

(a) Category of secretarial auditor

(b) Name of secretarial audit firm

(c) Firms registration number of secretarial audit firm

(d) Membership number of secretarial auditor

(e) Certificate of practice number of secretarial auditor

(f) Address of secretarial auditor

(g) Permanent account number of secretarial auditor or secretarial auditor's firm

(h) Date of signing secretarial audit report (DD/MM/YYYY)

Attachments

(a) *Copy of financial statements duly authenticated as per section 134 (including Board's report, auditors' report and other documents)

Max 2 MB

Choose

Remove

Download

(b) Supplementary or test audit report under section 143

Max 2 MB

Choose

Remove

Download

(c) Details of comments of CAG of India

Max 2 MB

Choose

Remove

Download

(d) Secretarial Audit Report

Max 2 MB

Choose

Remove

Download

(e) Statement of the facts and reasons for not adopting the financial statement in the annual general meeting (AGM)

Max 2 MB

Choose

Remove

Download

(f) Statement of the fact and reasons for not holding the AGM

Max 2 MB

Choose

Remove

Download

(g) Optional attachment(s), if any.

Max 2 MB

Choose

Remove

Download

Declaration

I am authorised by the Board of Directors of the Company vide resolution number * dated* to sign this form and declare that all the requirements of Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with.

I further declare that:

1 Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.

2 All the required attachments have been completely and legibly attached to this form.

* To be digitally signed by

DSC BOX

*Designation

(Director/Manager/Secretary/CEO/CFO/Interim Resolution Professional (IRP)/ Resolution Professional (RP)/Liquidator)

 ▼

*Director identification number of the director; or PAN of the manager or CEO or CFO; or Interim Resolution Professional (IRP) or Resolution Professional (RP) or Liquidator; or Membership number of the secretary

Certificate by Practicing Professional

I declare that I have been duly engaged for the purpose of certification of this form. It is hereby certified that I have gone through the provisions of the Companies Act, 2013 and Rules thereunder for the subject matter of this form and matters incidental thereto and I have verified the above particulars (including attachment(s)) from the original/certified records maintained by the Company/applicant which is subject matter of this form and found them to be true, correct and complete and no information material to this form has been suppressed. I further certify that:

1 The said records have been properly prepared, signed by the required officers of the Company and maintained as per the relevant provisions of the Companies Act, 2013 and were found to be in order;

2 All the required attachments have been completely and legibly attached to this form;

3 It is understood that I shall be liable for action under Section 448 of the Companies Act, 2013 for wrong

certification, if any found at any stage.

* **To be digitally signed by**

DSC BOX

☐ Chartered accountant (in whole-time practice) or

☐ Cost accountant (in whole-time practice) or

☐ Company secretary (in whole-time practice)

Whether associate or fellow:

☐ Associate

☐ Fellow

Membership number

Certificate of practice number

Save

Submit

Note: Attention is drawn to provisions of Section 448 and 449 of the Companies Act, 2013 which provide for punishment for false statement / certificate and punishment for false evidence respectively.

For Office use only:

eForm Service request number (SRN)

eForm filing date (DD/MM/YYYY)

This e-Form is hereby registered

Digital signature of the authorising officer

DSC BOX

Date of signing (DD/MM/YYYY)

OR

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company