Form language

English

# Hardha arai

# Form No. ADJ Memorandum of Appeal

[Pursuant to Section 454(5) of the Companies Act, 2013 and rule 4(1) of the Companies (Adjudication of Penalties) Rules, 2014]

Refer instruction kit for filing the form
All fields marked in \* are mandatory

Before the Regional Director

In the matter of the Companies Act, 2013 And In the matter of appeal against the order made on (DD/MM/YYYY)

Ву

1 \*Order ID number of the adjudication order

Note: Pursuant to the second proviso in sub rule (1) of Rule 4 of the Companies (Adjudication of Penalties) Rules, 2014,

the appeal in Form ADJ shall not seek relief against more than one order unless the reliefs prayed for are consequential.

2 *Category of Appellant	
(Company/Foreign Company/Others [Individual])	

### **3** Company Details

(a) Corporate Identity Number (CIN) or Foreign Company Registration Number (FCRN)	
(b) Name of the company	
(c) Address of the registered office or principal place of business in India	
(d) Email id of the company	

## 4 Details of other (individual) appellant

Select other (individual) appellant	
(a) *Identification number (DIN / PAN / Passport Number / Membership Number)	
(b) *Name	
(c) *Email ID	
5 (a) *Whether the appeal is to be filed on behalf of other appellants also?	O yes O No
(b) Number of appellants for which the form is being filed	

Hindi

# 6 Particulars of other appellants on behalf of whom the appeal is being filed

Select other appellant				V
(a) *Identification number (DIN / PAN / Passport Number / Membership Number)				
(b) *Name				
(c) *Email ID				
(d) *Copy of authorisation letter to file the appeal	Max 2 MB	Choose File	Remove	Download

### 7 Details of the respondent

- (a) \*Adjudicating officer
- (b) \*Address

10

# 8 Details of Adjudication order

- (a) \*Section under which penalty was imposed
- (b) Specify others
- (c) \*Description of the section

### **9** Penalty Details

S. No.	Name of the Appellant	Penalty imposed (Amount in INR)	Reason for Penalty

10 Specify	y the name	of the	form,	if an	y
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11 SRN of the form specified above

### **Appeal details**

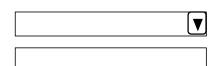
12 \*Synopsis of case

13 \*Grounds of appeal

14 \*Relief sought

15 \*Interim relief sought, if any

16 \*Date of issuing certified copy of penalty order (DD/MM/YYYY)



17 *Due date by which the appeal has to be filed (DD/MM/YYYY)	
18 *Whether there is a delay in filing the appeal?	O Yes O No
19 Delay in days	
20 Reason for delay in filing the appeal	
21 *Jurisdiction of Regional Director	
22 Details of writ petition filed with the court, if any	
23 Details of the authorised representative	
(a) Name of the authorised representative, if any	
(b) Email id of the authorised representative, if any	

The appellant declares that the subject matter of appeal falls within the jurisdiction of the Regional Director.

### Attachments

- Choose File (a) \*Certified copy of the order against which appeal is Max 2 MB Download Remove sought Choose File Max 2 MB Download Remove (b) A copy of authorisation in favour of authorised representative, if any Max 2 MB Choose File Remove Download (c) Order of condonation of delay, if any Max 2 MB Download Choose File Remove
- (d) Optional attachment(s), if any

## Declaration

	*I am authorised by the Board of Directors of the Company vide resolution number *
	dated* to sign this form and declare that all the requirements of Companies Act, 2013
_	and the rules made thereunder have been complied with in respect of subject matter of this form.
	*I am filing this form in my individual capacity as Officer in default or any other person in the captioned matter
	and hereby certify that all the requirements of the Companies Act, 2013 and rules made thereunder have been complied with in respect of subject matter of this form.
	*I also certify that all the information given herein above is true, correct and complete including the
	attachments to this form and nothing material has been suppressed.
	*It is further declared that no other appeal, suit, civil revision or any other legal proceedings is pending before
	any authority on the similar matter.
* To	D be digitally signed by DSC BOX
	itegory
	ector/Manager/Company Secretary/ CFO/ CEO/ Authorised representative/ Appellant)
*Id	entification Number (Director identification number of the director; or DIN or

# PAN of the manager or CEO or CFO; or Membership number of the company secretary Or DIN/PAN/Passport number of appellant; or PAN of Authorised Representative)

Note: Attention is drawn to provisions of Section 447 read	-
provide for punishment for false statement / certificate an For office use only:	a punishment for faise evidence respectively.
eForm Service Request Number (SRN)	
eForm filing date (DD/MM/YYYY)	
Appeal ID	
The appeal is hereby approved	DSC BOX
The appeal is hereby rejected	DSC BOX
Date of signing (DD/MM/YYYY)	
	"

[F. No. 1/25/2013-CL-V(Part)] MANOJ PANDEY, Addl. Secy.

Note: The principal rules were published in the Gazette of India, Extraordinary, Part II, Section 3, Sub-section(i), *vide* number G.S.R. 254(E), dated the 31<sup>st</sup> March, 2014 and last amended, *vide* notification number G.S.R. 131(E) dated 19<sup>th</sup> February, 2019.