



“Form No.IEPF-4

Form language

☒ English

☐ Hindi

Statement of shares transferred and information of shares & unclaimed or unpaid dividend not transferred to the IEPF

[Pursuant to rule 6(5), 6(8), 6A(5), 6A(8) and 6(3) of the Investor Education and Protection Fund

Authority (Accounting, Audit, Transfer and Refund) Rules, 2016] and Section 124 of the Companies Act 2013]

Refer instruction kit for filing the form

All fields marked in * are mandatory

Entity's details

1. (a) *Corporate Identity number (CIN)/Bank Corporate Identification Number (BCIN)

(b) *Name of the company / bank

(c) *Address of the registered office of the company / bank

(d) *Email ID of the company / bank

2. (a) * Purpose of filing

- ☐ Transfer of shares u/s 124(6)
- ☐ Transfer of shares u/s 90(9)
- ☐ Benefits accruing on shares already transferred to IEPF u/s 124(6)
- ☐ Benefits accruing on shares already transferred to IEPF u/s 90(9)
- ☐ Information of shares and unclaimed or unpaid dividend not transferred to the IEPF

(b) Whether the company/bank is amalgamated

☐ Yes

☐ No

(c) CIN/BCIN of the amalgamated company/bank

(d) SRN of IEPF-1/1-INV/IEPF-1A or SRN of IEPF-4

3. Date of Corporate Action to Demat account of IEPF Authority
(DD/MM/YYYY)

Fund details

4. (a) Total nominal amount of shares transferred to the IEPF account

(b) Total number of shares transferred

5. Date of Declaration of benefits accruing on Shares Transferred to IEPF
(DD/MM/YYYY)

6. Financial year to which the amount relates (YYYY-YYYY)

7. Date of Advertisement (DD/MM/YYYY)

Details of shares and dividend not transferred to IEPF account

8. (a) Total number of shares

(b) Total nominal amount of shares

(c) Total amount of unpaid dividend on such shares

(d) Financial year ended as on (DD/MM/YYYY)

Attachments

(a) Investor wise details (excel file)

Max 40 MB

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(b) Copy of newspaper advertisement or order of a copy of order of the Tribunal u/s 90 (8) of the Act along with a declaration that no application is pending u/s 90(9) of the Act

Max 2 MB

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(c) Order of the court/tribunal/ statutory authority

Max 2 MB

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(d) Optional attachment(s) - if any

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Declaration

I have been authorized by the Board of directors' resolution number* Dated (DD/MM/YYYY)* to sign and submit this form.

To the best of my knowledge and belief, I declare that all the requirements of Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I also declare that all the information given herein above is true, correct and complete including the attachments to this form and nothing material has been suppressed.

* To be digitally signed by

DSC BOX

* Designation

(Director/Manager/ Company Secretary/CFO/CEO/Managing Director/Authorized person of the bank)

* DIN of the director; or Income-tax permanent account number of the manager or CEO or CFO or Managing Director or Authorized person of the bank; or Membership number of the secretary

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Note: Attention is also drawn to provisions of Section 448 and section 449 of Companies Act, 2013 which provide for punishment for false statement and false evidence

This eForm has been taken on file maintained by IEPF Authority through electronic mode and on the basis of statement of correctness given by the company/bank

For office use only:*eForm Service request number (SRN)**eForm filing date (DD/MM/YYYY)*