

Note - All fields marked in * are mandatory

Purpose of form

- 1.(a) *Purpose of filing is related to
- O Statement of unclaimed and unpaid amounts
- Nodal Officer
- O Deputy Nodal Officer
- (b) *Sub purpose of filing
- O Appointment
- O Updation
- Cessation

Company/ Bank related information

2.(a) *Corporate identity number (CIN) of company / Bank Corporate Identification number (BCIN) of the Bank			
(b) *Name of the company/bank			
(c) * Address of the registered office of the company /bank			
(d) *Email ID of the company/bank			
(e) *Whether a person is already an existing nodal officer in any holding/subsidiary company	/ O Yes	0	No
(f) If Yes, CIN of the holding/Subsidiary company			

3. Details of Nodal Officer

(a) *Name of the Nodal Officer

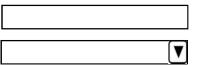


(b) *First Name	
(c) Middle Name	
(d) *Last Name	
(e) *Father's First Name	
(f) Father's Middle Name	
(g) *Father's Last Name	
(h) *Date of Birth (DD/MM/YYYY)	
(i) *PAN	
(j) *Designation	
(k) *Gender	
(I) Official Postal address	
*Address Line 1	
Address Line 2	
*Country	
*Pin Code/Zip code	
*Area/Locality	V
*City	
District	
*State	
(m) *Phone (With STD/ISD code)	
(n) *Mobile Number	
(o) *Email id	
(p) *Date of Board Resolution (DD/MM/YYYY)	

Deputy nodal officer details

4.*Number of Deputy Nodal Officers to be added

a. *Name of the Deputy Nodal Officer to be added



(a)(i) *Number of Deputy Nodal Officers for which details need to be updated (a)(ii)*Name of the Deputy Nodal Officer whose details needs to be updated V (a)(iii)*Number of Deputy Nodal Officers is to be ceased (a)(iv)* Name of the Deputy Nodal Officer is to be ceased V b. *First Name c. Middle Name d. *Last Name e. *Father's First Name f. Father's Middle Name g. *Father's Last Name h. *Date of Birth (DD/MM/YYYY) i. *PAN Verify Income Tax PAN j. *Designation k. *Gender V (Male/Female/Transgender) I. Official Postal address *Address Line 1 Address Line 2 *Country *Pin Code/Zip code *Area/Locality V *City District *State m. *Phone (With STD/ISD code) n. *Mobile Number

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o. *Email id

p. *Date of Board Resolution (DD/MM/YYYY)

Dividend related details

- 5.(a) *Financial year ended (FY-7) (DD/MM/YYYY)
 - (b) *Date of annual general meeting (AGM) or due date whichever is earlier (DD/MM/YYYY)
- 6. * Whether registered with Reserve Bank of India (RBI)
- 7. Number of small shareholders/depositors of the company
- 8. Number of shares in the unclaimed suspense/demat suspense account of the company
- 9. Details of unclaimed and unpaid amounts for previous seven years including current year

S.No.	Particulars	Unclaimed and unpaid amounts lying with the company/bank separately foreach of the last seven financial years							
		FY-1	FY-2	FY-3	FY-4	FY-5	FY-6	FY-7	Total
1	Amount in the unclaimed and unpaid dividend accounts of the company/bank								
(a)	No. of Underlying Shares for the Amount in the unpaid dividend accounts of the company/bank								
(b)	Amount refunded by the Company/bank from the unpaid dividend account during the year								
2	The amount received under sub-section (4) of section 38								
3	Amount of application moneys received and due for refund								
4	Amount of matured deposits								
(a)	Amount refunded by the Company/bank from the matured deposits during the year								
5	Amount of matured debentures								
(a)	Amount refunded by the Company/bank from the matureddebentures during the year								
6	Interest accrued on the amounts referred to in clause (3) to (5)above								
(i)	Application money due for refund								
(ii)	Matured deposits with companies/banks								

[PART II—SEC. 3(i)]

O No

O Yes

[भाग II—खण्ड 3(i)]

S.No.	Particulars	Unclaimed and unpaid amounts lying with the company/bank separately for each of the last seven financial years			rately for				
		FY-1	FY-2	FY-3	FY-4	FY-5	FY-6	FY-7	Total
(iii)	Matured debentures with companies/banks								
7	Sale proceeds of fractional shares arising out of issuance of bonus shares, merger and amalgamation								
8	Redemption amount of preference shares								
9	Others								
	Total								

Note:

- (1) FY-7 is the current financial year as mentioned in 5(a) above
- (2) Amount mentioned in FY-1 indicates amount due to be credited to IEPF in next financial year

(3) Amounts are to be given separately for each financial year indicating the amount unclaimed/unpaid as on end of that particular financial year

Max 40 MB

Max 2 MB

Max 2 MB

- 10. *Amount of Dividend declared on shares of the company/bank lying with IEPFduring the Financial year as mentioned in 5(a) above
- 11. *Any other benefits declared (as per rule 6(8)) on shares of the company/bank lying with IEPF during the Financial year as mentioned in 5(a) above

Attachments

- 1 *Investor wise details (excel file)
- 2 *Board Resolution for appointment of Nodal Officer/ Deputy Nodal Officer
- 3 Optional attachment(s) if any

Declaration

I have been authorized by the Board of	of directors' resolution number*		dated
	(DD/MM/YYYY)*to sign and su	bmit this form.	

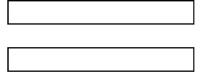
To the best of my knowledge and belief, I declare that all the requirements of Companies Act, 2013 and the rules made thereunderin respect of the subject matter of this form and matters incidental thereto have been complied with. I also declare that all the information given herein above is true, correct and complete including the attachments to this form and nothing material has been suppressed.

* To be digitally signed by member

* Designation

(Director/Manager/Company Secretary/CFO/CEO/Managing Director/Authorized person of the bank)

* DIN of the Director; or PAN of the Manager or CEO or Managing Director or CFO;



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2	THE GAZETTE OF INDIA : EXTRAORDINARY	[PART II—SEC. 3(i)]
or Membership number of	f the secretary; or PAN of Authorized person of the bank	
	to provisions of Section 448 and 449 which provide	
for punishment for false evidence respectively	statement / certificate and punishment for false	Save Submit
	n on file maintained by the IEPF Authority through electronic nent of correctness given by the company/bank	
For office use only:		
<i>For office use only:</i> <i>eForm Service request number</i>	ber	
	ber	

".

6. Form IEPF-3 shall be omitted.

7. For the Forms IEPF-4 and IEPF-5, the following Forms shall respectively be substituted, namely: –