

**“Form No. IEPF-1**

Form language

**Statement of amounts credited to IEPF or transfer of amounts on account of shares transferred to the fund**☒ English☐ Hindi

[Pursuant to rule 5(1), 6(13), 6(13A) and 6A(12) of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016]

Refer instruction kit for filing the form

All fields marked in \* are mandatory

**\*Purpose of filing**☐ Statement of amounts credited to IEPF☐ Statement of transfer of amounts on account of shares transferred to the fund**Sub purpose of filing**☐ On shares transferred under section 124(6)☐ On shares transferred under section 90(9)**Company/Bank Information**1. (a) \*Corporate identity number (CIN) of the company / Bank  
Corporate identification number (BCIN) of the Bank

(b) \*Name of the company/bank

(c) \*Registered office address

(d) \*Email -ID of the company/bank

2. (a) \*Whether the company/bank is amalgamated

☐ Yes ☐ No

(b) Service request number (SRN) of form INC-28

**Fund Information**

3.(a) Number of shares with IEPFA on record date

(b) Dividend declared per share

(c) \*Amount to be credited to the fund (in Rs.)

(d) \*TDS deducted on amount to be credited to the Fund (in Rs.)

(e) \*Net Amount to be credited to the fund after deducting TDS (in Rs.)

4. (a) Date of transfer of amount to unpaid dividend account of the company/bank  
(DD/MM/YYYY)

- (b) Date of declaration of dividend (DD/MM/YYYY)

5. Details of the amount to be credited to the fund

S.No.	Particulars	Amount (in Rupees)	Date by which amount should have been credited to the fund (DD/MM/YYYY)
a	Amount in the unpaid dividend accounts of companies/banks		
b	The application money received by companies/banks for allotment of any securities and due for refund		
c	Matured deposits with companies/banks		
d	Matured debentures with companies/banks		
e	Interest accrued on the amounts referred to in clause (b) to (d) above		
	(i) Application money due for refund		
	(ii) Matured deposits with companies/banks		
	(iii) Matured debentures with companies/banks		
f	Sale proceeds of fractional shares arising out of issuance of bonus shares, merger and amalgamation		
g	Redemption amount of preference shares		
h	Surplus amount after payment of dues for Section 8 company before its conversion into any other kind		
I	Amount received through disposal of securities under section 38(4) of The Companies Act 2013		
J	Grants and donation		
k	Dividend on shares transferred to IEPF		
l	Proceeds realized on delisting of companies/banks with respect to shares transferred to IEPF		
m	Proceeds realized on winding up of companies/banks with respect to shares transferred to IEPF		
n	Surrender of shares under Section 236 of Companies, Act 2013		
o	Others		
<b>Total</b>			

6. \*Financial year to which the amount relates (YYYY-YYYY)

**Attachments**

(a) Investor wise details (excel file)(For amounts credited to IEPF)

Max 40 MB

Choose File

Remove

Download

(b) Investor wise details (excel file) (For transfer of amounts on account of shares transferred to the fund)

Max 40 MB

Choose File

Remove

Download

(c) Copy of Resolution declaring dividend/ winding up order/ delisting order

Max 2 MB

Choose File

Remove

Download

(d) Optional attachment(s) - if any

Max 2 MB

Choose File

Remove

Download

**Declaration**

I have been authorized by the Board of directors' resolution number\*  dated (DD/MM/YYYY)\*  to sign and submit this form.

To the best of my knowledge and belief, I declare that all the requirements of Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I also declare that all the information given herein above is true, correct and complete including the attachments to this form and nothing material has been suppressed.

**\* To be digitally signed by member**

DSC BOX

**\* Designation**

(Director/Manager/ Company Secretary/CFO/CEO/Managing Director /IRP/RP/Liquidator/Authorized person of the bank)

\* DIN of the director or Income tax PAN of the manager or CEO or CFO or Managing Director or IRP/RP/Liquidator or Membership number of the company secretary or PAN of Authorized person of the bank

Save

Submit

**Note:** Attention is drawn to provisions of Section 448 and 449 which provide for punishment for false statement / certificate and punishment for false evidence respectively

This eForm has been taken on file maintained by the IEPF Authority through electronic mode and based on statement of correctness given by the company/bank

**For office use only:**

eForm Service request number (SRN)

eForm Service request number (SRN)