

Certificate by Practicing Professional

It is hereby certified that I have gone through the provisions of the Companies Act, 2013 and Rules thereunder for the subject matter of this form and matters incidental thereto and I have verified the above particulars (including attachment(s)) from the original records maintained by the Company which is subject matter of this form and found them to be true, correct and complete and no information material to this form has been suppressed.

☐ Chartered Accountant (in whole-time practice) or

☐ Cost Accountant (in whole-time practice) or

☐ Company Secretary (in whole-time practice)

Whether Associate or Fellow

☐ Associate

☐ Fellow

Membership Number

Certificate of Practice Number

Note: Attention is also drawn to provisions of Section 448 and 449 which provide for punishment for false statement and punishment for false evidence respectively.

This e-Form has been taken on file maintained by the register of companies through electronic mode and on the basis of statement of correctness given by the Director and professional.

Form no. BEN-3

Register of beneficial owners holding significant beneficial interest

[Pursuant to section 90(2) of the Companies Act, 2013 and rule 5(1)]

Name of the company:

Registered office address:

Sl. No.	Name of the Beneficial Owner	Address and E-mail id	Date of Birth/ Age	Father's/ Mother's/ Spouse's name
(1)	(2)	(3)	(4)	(5)
Occupation	Nationality	PAN/UIN	Passport No. (in case of foreign national)	Status

(6)	(7)	(8)	(9)	(10)
Date of declaration under section 90	Date of cessation	Date of entry in Register	Date of filing of BEN-2(SRN wise)	Any other interest, if any
(11)				
Instructions, if any, given by the member				

Form no. BEN-4

[Pursuant to section 90(5) of the Companies Act, 2013, rule 2A, 6 and rule 7]

[<<Insert Company Name>>] (the "Company")

<<Insert Date>>

[By post/email]

To:

Name and address of significant beneficial owner/any other person

Date:

Subject: Notice under sub-section (5) of Section 90 of the Companies Act, 2013 and rules made thereunder

The Company has reasonable cause to believe that* :

- ☐ you are a significant beneficial owner of the company;
- ☐ have knowledge of the identity of significant beneficial owner/another personlikely to have such knowledge;
- ☐ being a member hold not less than 10% of the shares/voting rights/rights on dividend or any other distribution in the company
- ☐ have been a significant beneficial owner of the company during the three years immediately preceding the date of this notice, and