

FORM NO. ADJ

[Pursuant to Section 454(5) of the companies Act, 2013 and rule 4(1) of the Companies (Adjudication of Penalties) Rules, 2014]



Memorandum of Appeal

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

Before the Regional Director
In the matter of the Companies Act, 2013
And

In the matter of appeal against the order made on by

1. * Category of Appellant

2. * (a) Corporate identity number (CIN) or Foreign Company registration number (FCRN)

3. (a) Name of the company

(b) Address of the registered office or principal place of business in India

(c) e-mail id of the company

4. Details of appellant

(a) * DIN/PAN/Passport Number

(b) * Name

(c) Address * Line I
Line II

(d) * City

(e) * State (f) * ISO Country Code

(g) Country

(h) * PinCode

(i) * email id

5. * Details of the respondent

(a) Adjudicating officer

(b) Address

6. * Section under which penalty was imposed

7. * Penalty imposed (Amount in Rupees)

8. * Reason for Penalty

9. Details of imprisonment(if any)

10. Specify the relevant Form number (if any)

11. SRN of the form specified above

12.* Synopsis of case

13.* Grounds of appeal

14. * Relief sought

15. *Interim relief sought (if any)

16. * Date of issuing certified copy of Penalty order

17. Due date by which the order has to be filed

18. Delay in days

21.* Jurisdiction of Regional Director

The appellant declares that the subject matter of appeal falls within the jurisdiction of the Regional Director.

Attachments

List of Attachments

1. *Certified copy of the order against which appeal is sought ;

Attach

4. Optional attachment(s), if any

Attach

Remove Attachment

Declaration

☐ I am authorized by the Board of Directors of the Company vide resolution number
dated to sign this form and declare that all the requirements of Companies Act, 2013 and the
rules made thereunder.

☐ I am filing this form in my individual capacity as Officer in default in the captioned matter and hereby certify that all
the requirements of the Companies Act, 2013 and rules made thereunder have been complied with in respect of
subject matter of this form.

☐ I also certify that all the information given herein above is true, correct and complete including the attachments to this
form and nothing material has been suppressed.

☐ It is further declared that no other appeal, suit, civil revision or any other legal proceedings is pending before any
authority on the similar matter.

***To be digitally signed by**

***Category**

*** PAN of the Authorized representative; or DIN/PAN or passport number of the
appellant; or DIN of the director; or DIN or PAN of the manager or CEO or
CFO; or Membership number of the Company Secretary**

**Note: Attention is also drawn to provisions of Section 448 and 449 of the Companies Act, 2013 which provide for
punishment for false statement and punishment for false evidence respectively.**

Modify

Check Form

Prescrutiny

Submit

For office use only:

Affix filing details

eForm Service request number (SRN)

eForm filing date

(DD/MM/YYYY)

Digital signature of the authorising officer

This e-Form is hereby approved

Confirm submission

This e-Form is hereby rejected

Date of signing

(DD/MM/YYYY)