



CIRCULAR

SEBI/HO/CFD/CMD1/CIR/P/2019/36

March 12, 2019

To

**All Listed entities**  
**All the Recognised stock exchanges**  
**All the Depositories**

Dear Sir/Madam,

**Sub: Modification of circular dated December 7, 2018 on 'Disclosure of significant beneficial ownership in the shareholding pattern'**

1. Vide Circular No. SEBI/HO/CFD/CMD1/CIR/P/2018/0000000149 dated December 7, 2018, certain requirements were specified with respect to disclosure of significant beneficial ownership in the shareholding pattern of listed entities. The said circular was based on the Companies (Significant Beneficial Owners) Rules, 2018 issued by Ministry of Corporate Affairs vide notification dated June 14, 2018.
2. Subsequent to the issue of the aforesaid circular, the Companies (Significant Beneficial Owners) Rules, 2018 were amended by Ministry of Corporate Affairs vide the Companies (Significant Beneficial Owners) Amendment Rules, 2019 notified on February 8, 2019.
3. In view of the amendments to the Rules, the Circular No. SEBI/HO/CFD/CMD1/CIR/P/2018/0000000149 dated December 7, 2018 shall stand modified to the extent as specified hereunder:
  - 3.1. The circular shall be applicable to those listed entities that are reporting companies as per Companies (Significant Beneficial Owners) Rules, 2018, as amended from time to time.
  - 3.2. The submissions under this circular shall be in line with the requirements specified under Companies (Significant Beneficial Owners) Rules, 2018, as amended from time to time.
  - 3.3. In view of the revised formats issued under the amended Rules, the format specified in the Annexure to this circular shall replace the format specified in the Annexure to the circular dated December 7, 2018.
  - 3.4. In view of the revised timelines under the amended Rules, the circular shall come into force with effect from the quarter ended June 30, 2019.
4. The Stock Exchanges are advised to bring the provisions of this Circular to the notice of listed entities and also to disseminate the same on its website.



भारतीय प्रतिभूति और विनिमय बोर्ड  
Securities and Exchange Board of India

5. This Circular is issued in exercise of the powers conferred under Section 11 and Section 11A of the Securities and Exchange Board of India Act, 1992 read with Regulation 31 and Regulation 101(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.
6. This Circular is available on SEBI website at [www.sebi.gov.in](http://www.sebi.gov.in) under the categories "*Listing Regulations*" and "*Legal*".

Yours faithfully,

**Pradeep Ramakrishnan**  
**Deputy General Manager**  
**Compliance and Monitoring Division-1**  
**Corporation Finance Department**  
**Tel No: 022 2644 9246**  
**Email: [pradeepr@sebi.gov.in](mailto:pradeepr@sebi.gov.in)**

**Annexure**
**Table V- Statement showing details of significant beneficial owners (SBOs)**

Sr. No	Details of the SBO (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)		Date of creation / acquisition of significant beneficial interest# (IV)
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Whether by virtue of:		
							Shares	<input type="text"/> %	
							Voting rights	<input type="text"/> %	
							Rights on distributable dividend or any other distribution	<input type="text"/> %	
							Exercise of control		
							Exercise of significant influence		

\* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

# This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.