

MINISTRY OF CORPORATE AFFAIRS**NOTIFICATION**

New Delhi, the 22nd May, 2018

G.S.R. 472(E).—In exercise of the powers conferred by sub-sections (1), (2), (3), (4), (8), (9), (10) and (11) of section 125 and sub-section (6) of section 124 read with section 469 of the Companies Act, 2013 (18 of 2013), the Central Government hereby makes the following rules, further to amend the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016, namely:-

1. (1) These rules may be called the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Third Amendment Rules, 2017.

(2) They shall come into force with effect from the 22nd May 2018.

2. In the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 in rule 6 in sub-rule (13), after the words “maintained in the Punjab National Bank” the following shall be inserted, namely:-

“and the details thereof shall be furnished to the Authority in Form No. IEPF 7 within thirty days from the date of remittance or within thirty days from the date of enforcement of these Rules, as the case may be.”

[F. No. 05/17/2017-IEPF]

GYANESHWAR KUMAR SINGH, Jt. Secy.

Note : The principal rules were published in the Gazette of India vide number GSR 854 (E), dated the 5th September, 2016 and amended vide notification number G.S.R 178(E) dated 28th February, 2017 and G.S.R 1267 (E) dated 13th October, 2017.

FORM NO. IEPF-7

[Pursuant to rule 6(13) of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2017]



Statement of amounts credited to IEPE on Account of shares transferred to the fund

Form language o English o Hindi
Refer instruction kit for details.

Note 1 - Please adhere to the 'Process for uploading Investor-wise details' as mentioned on the Acknowledgment, to be generated upon upload of this eForm.

Note 2. Please take a note that this form has to be filed only for one Financial Year at a time.

1. (a) *Corporate identity number (CIN) of company

Pre fill

(b) Global Location Number (GLN) of company

2. (a) Name of the company

(b) Address of registered office of the company

(c) *email id of the company

3. *Name of Transfree Bank

4. *Bank Transaction ID

5. *Date of payment of amount to the fund

6. *Amount credited to the fund

7. *Details of the amount transferred to bank account of the fund

| S. No. | Particulars | Amount (in Rupees) | Date by which amount should have been credited to the fund |
|--------|---|--------------------|--|
| (a) | Dividend on shares transferred to IEPF | | |
| (b) | Proceeds realized on delisting of companies with respect to shares transferred to IEPF | | |
| (c) | Proceeds realized on winding up of companies with respect to shares transferred to IEPF | | |
| (d) | Others | | |
| | Total | | |

8. *Financial Year to which the amount relates

Attachments

- *Copy of Resolution declaring dividend/winding up order/delisting order
- Optional attachments, if any.

List of attachments

Attach

Attach

Remove attachment

Declaration

I have been authorized by the Board of directors' resolution number* Dated * (DD/MM/YYYY) to sign and submit this form.

To the best of my knowledge and belief, I declare that all the requirements of Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I also declare that all the information given herein above is true, correct and complete including the attachments to this form and nothing material has been suppressed.

*To be digitally signed by

DSC Box

*Designation

*DIN of the director; or PAN of the manager or CEO or CFO; or Membership number of the company secretary.

Note:Attention is also drawn to provisions of Section 448 and section 449 of Companies Act, 2013 which provide for punishment for false statement and false evidence.

Modify

Check Form

Prescrutiny

Submit

For office use only :

Affix filing details

eForm Service request number (SRN)

eForm filing date

(DD/MM/YYYY)

Digital signature of the authorising officer

This e-Form is hereby approved

This e-Form is hereby rejected

Confirm Submission

Date of signing

(DD/MM/YYYY)